

CMX-6 Data Review Meeting – Day 1

4th – 6th June 2019 Warsaw, Poland

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▶ Welcome-

Institute of Nuclear Chemistry and Technology, Warsaw, Poland

Venue information



Data Review Meeting Overview

- Day 1
 - Welcome and introductions
 - 24 hour report results
- Day 2
 - 1 week report results
 - Introduction to 2 month report results
 - DRM evening dinner
- Day 3
 - 2 month report results
 - Reveal/backstory
 - Lessons learned and future exercises
 - CMX-6 after action report schedule
 - Close out

Background



6th Collaborative Materials Exercise (CMX-6)

- **1999-2000** Pu Oxide Powder, Round Robin 1
- **2000-2002** HEU Powder, Round Robin 2
- **2009-2010** HEU Metal, Round Robin 3
- 2014-2015 LEU pellets and powder, CMX-4
- **2016-2017** LEU pellets, theoretical injects, CMX-5

Goal of CMX's:

...to improve international Technical Nuclear Forensics capabilities, cooperation, and communication between practitioners through the discovery, development, and sharing of best practices

ITWG Exercise Philosophy



- Each Laboratory's results are held in confidence
- A summary of exercise results is published (AAR-After Action Report)
- Uses "real world" samples not reference materials
- Scenario based exercises with reporting times balancing the needs of the investigation with the limitations of methodologies
- Designed to target questions of both a (1) legal and (2) national security nature
 - 1. Is the material radioactive? Dangerous? LEU? HEU? Illegal to possess?
 - 2. Can we identify the origin? Can we include or exclude it from other materials?

Use the Graded Decision Framework to accurately communicate exercise results

June 13, 2019

Benefits of participating in CM exercises



- Put the lab capability into practice
- Utilise different techniques to answer questions that may be asked when nuclear material is seized by law enforcement agencies
- Compare results to other laboratories (although NOT a proficiency test)
- Exchange information on nuclear forensics with other laboratories

CMX-6 Participant Statistics



Congratulations!!! You are all part of the largest Collaborative Materials Exercise in the 24 year history of the ITWG

Sixth Collaborative Materials Exercise (CMX-6)

- 22 laboratories will have completed the exercise plus one virtual participation
- Round Robin 1 (RR1), 6 participating laboratories
- Round Robin 2 (**RR2**), **10** participating laboratories
- Round Robin 3 (**RR3**), 9 participating laboratories
- Collaborative Materials Exercise 4 (CMX-4), 16 participating laboratories
- Collaborative Materials Exercise 5 (CMX-5), 20 participating laboratories

Caveats & Assumptions for CMX-6



- CMX-6 is the 4th consecutive "paired comparison" exercise
 - Supporting technical comparisons without the need for a NF Library
- It is assumed that all participating laboratories have a satisfactory Quality Control and Quality Assurance program

Exercise materials used:

- Have well known process history and laboratory analysis, but are not <u>"certified"</u> materials (when possible, mean values of all CMX-6 laboratory results are provided to illustrate consensus answers)
- CMX-6 has a conventional forensics part of the exercise (not included since RR2)

Purpose of CMX-6



Improve TNF tools and best practices

- Assist labs to develop new and improve existing TNF capabilities
- Enhance decision making process by optimizing the ITWG Graded Decision Framework (GDF)
- Emphasize the utility of Group Inclusion/Exclusion (GIE) decisions related to TNF evaluations
- Address questions of both legal and National Security nature

Ground Rules for CMX-6



- Technical Learning Experience / not a performance test
 - ITWG is not a governing body and does not have the "right answer"
 Our job is to facilitate a discussion about best practices
- Data Review Meeting is open to only participants or persons that have helped facilitate the exercise.
- All meeting discussions are to be held in confidence and not shared outside of this community
- Individual data points will be referenced using the code name for that laboratory at all times.
- Meeting participants are asked to refrain from references to data in a way that may divulge the identity of laboratories other than their own

Participant Introductions



Name

- Country
- ITWG exercises experience
- What was your lab hoping to gain from participation?



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6th Collaborative Materials Exercise (CMX-6) of the Nuclear Forensics International Technical Working Group (ITWG)

Jon Schwantes & Olivia Marsden

Co-Chairs ETG, ITWG

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Background

UNCLASSIFIED – EXERCISE SENSITIVE 20 years of Materials Exercises 1999-2000 Pu Oxide Powder, RR1 (6) 2000-2002 HEU Powder, RR2 (10) 2009-2010 HEU Metal, RR3/CMX-3 (9) 2014-2015 LEU pellets and powder, CMX-4 (16) 2016-2017 LEU pellets, CMX-5 (20) ■ 2018-2019 PuF₄ powder, Ce & DU Metal, CMX-6

- (23)



Purpose:

...to improve international Technical Nuclear Forensics capabilities, cooperation, and communication between practitioners through the discovery, development, and sharing of best practices

Goal:

Evaluate the state of practice and identify emerging technologies



CMX-6 Participants

- 23 participants
- 19 shipped standard exercise materials
- 3 shipped exercise materials w/o Pu
- 1 virtual participant*

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- Australia
- Azerbaijan
- Brazil*
- Canada
- France
- Germany
- European Commission
- Hungary-U
- Israel-U
- Japan-U
- Kazakhstan
- Korea
- Moldova

- Poland
- Romania
- Russia
- Singapore
- South Africa
- Sweden
- Switzerland
- UK
- Ukraine
- USA



Ground Rules / Design

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- Each Laboratory's results held in confidence
- Summary of exercise results is published
- Uses "real world" samples (i.e., not PT)
- Scenario based exercises with real-world reporting
- Designed to target questions of both a (1) legal and (2) national security nature
 - Is the material radioactive? Dangerous? LEU? **HEU? Illegal to possess?**
 - Can we identify the origin? Can we include or exclude it from other materials?

Key CMX-6 Design Features

- Include Traditional Forensics
- Utilize novel materials
- **Blind receipt**



Exercise Objectives













Purpose of the Data Review Meeting

- Opportunity to view your results relative to the community of results
- Please pay special attention to the results attributed to your lab:
 - Did we capture all of the important data you generated?
 - Is it accurate?
 - If either of these are not the case, please let me or Olivia know so we can correct this in the After Action Report



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Inject 1 – 24 Hour Preliminary Report



- On August 3, 2018, workers at Greene's Metal **Recycling call health department after a shipment of** scrap metal alarm their radiation detectors
- Health department confirms alarm, isolates radioactive material (~15cm metal pipe) and notifies **Central Police.** Pipe and contents are taken into custody

Case No. 52521, Sample **ID: ES-1**



Case No. 52521, Sample **ID: ES-2**

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Inject 1 – 24 Hour Preliminary Report



• On August 4, 2018, after a search by authorities of 6 metal foundries that contributed scrap to Greene's Recycling, recovered 31 additional pipe sections that were radioactive.



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Inject 1 – 24 Hour Preliminary Report

Authorities requested lab assistance to:

- (1) Inventory evidence and conduct basic physical measurements
- (2) Identify any potential traditional forensic evidence that might need to be processed
- (3) Categorize radioactive items without (significantly) destroying any of the evidence
- (4) Develop an analytical plan for the purpose of determining if ES-1 and ES-2 are related in any way



Thank you

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TIME

Laboratory Presentations – 24 Hours

11:45 – 12:05 pm
12:05 – 12:25 pm
12:25 – 1:25 pm
1:25 – 1:45 pm
1:45 – 2:05 pm
2:05 – 2:20 pm
2:20 – 2:40 pm
2:40 – 3:00 pm

TOPIC

24-hour report results 24-hour report results

Lunch

24-hour report results

24-hour report results

Break

Novel Methodologies in 24 hours - sample Hungary receipt / managing contamination Novel Methodologies in 24 hours - sample Romania receipt / managing contamination

Responsible

Azerbaijan

Ukraine

Germany Russia



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Summary of 24 Hour Reporting



24 Hour Reporting

- Summary of 24 Hour Analyses
 - Basic Physical Measurements
 - ✓ Mass uncertainties
 - ✓ Density Estimates
 - Trace Elements
 - Categorization Isotopic Analyses
 - ✓ U isotopes
 - ✓ Pu & Am isotopes
 - ✓ Other
- Graded Decision Framework
- Notable Efforts
- Discussion: Lessons Learned

2



Purpose of the Data Review Meeting

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Comparison of Basic Physical Measurements

- 4 orders of magnitude difference in mass uncertainties
- Uncertainties of density measurement not correlated to mass uncertainty
- Complicated geometry (estimate of volume) likely drives density uncertainty
- All but 2 labs reported results in 24 hrs





Trace Elements

- 7 labs reported trace elements in the first 24 hrs
- 6 used XRF, 1 used SEM-EDX
- Pipe
 - Makalu, Nanda Devi, Tirich Mir, Kamet-Gamma
- Ingots
 - Himalchuli, Tirich Mir, Masherbru,
 - Batura Sar SEM-EDX
 - Gasherbram X-ray ID of Ce via **HPGe**





Uranium Isotopics at 24 hrs

- 6 labs detected U in ES-1
- 3 labs reported 235/238 ratios in ES-1
- All but 1 lab detected U
 in ES-2
- 16 labs reporting 235/238 ratios
- 1 of three labs show 235/238 ratios in ES-1 and ES-2 consistent with each other
- HPGe, 1xSIMS





Pu & Am Detection at 24 Hours

- 17 of the 20 labs received samples containing Pu
- Of those 17:
 - 13 detected Pu in ES-1
 - 7 detected Pu in ES-2
 - 13 detected ²⁴¹Am in ES-1
 - 12 detected ²⁴¹Am in ES-2
 - 5 labs reported model age
 - ✓ 2 of 5 were consistent with one another





Pu & Am Detection at 24 Hours

- 17 of the 20 labs received samples containing Pu
- Of those 17:
 - 13 detected Pu in ES-1
 - 7 detected Pu in ES-2
 - 13 detected ²⁴¹Am in ES-1
 - 12 detected ²⁴¹Am in ES-2
 - 6 labs reported ²³⁹Pu/²⁴¹Am ratios for ES-1
 - 3 labs reported ²³⁹Pu/²⁴¹Am ratios for ES-2
 - 2 labs reported ratios for both
 - ES-1 and ES-2 consistent





Notable Efforts in 24 Hours

- Chomo Lonzo and others contamination control
- Batura Sar, Masherbrum & Tirich Mir
 - Detection of important trace contaminants (F, Y)
- Gasherbram X-ray ID of Ce via HPGe



9



Notable Efforts in 24 Hours

- Batura Sar alpha track, SEM and SIMS analysis at 24 hrs!
 - Detection of U contamination on Ce



ES-1 alpha-tracks over sample ES-1 (Batura Sar).



ES-1 Pu-containing particle. Approximate elemental composition: Pu - 80.2%, O -11.3%, F - 6.6%, Ce - 1.5%, Al - 0.4%.



Notable Efforts in 24 Hours

- Rakaposhi detection of ²²Na $^{19}F(\alpha, n)^{22}Na$
 - Alpha energy barrier for this reaction is ~5MeV...suggesting the presence of an element in fluoride form (e.g., Pu, but not U) that emits an alpha particle with an energy >5MeV
- Dhaulagiri, Cho Oyu, Kamet, Masherbrum Pu age determination
- Everest, Ngadi Chuli, Masherbrum Group Inclusion / Exclusion using ²⁴¹Am/²³⁹Pu
- Cho Oyo, 24 hour analysis of Pu by alpha spectrometry
- Gasherbrum, segregation of traditional evidence from radioactivity for analysis outside of radiochemical laboratory



Graded Decision Framework

- Ratio of Conclusive Decisions to those labs not recording a decision 14 for ES-1:
 - DU? 5/14
 - NU? 4/13
 - LEU? 4/14
 - HEU? 4/14
 - RGPu? 2/12
 - WGPu? 6/9
 - Am? 2/14

*NR marked as I *20 labs total for U questions *17 labs total for Pu/Am questions




U Detection at 24 Hours

- 2 labs out of 20 identified DU or NU in ES-1 with SP or CP confidence
- 16 out of 20 identified ES-2 as DU or NU with SP or CP confidence



■ ES-1 ■ ES-2

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Pu & Am Detection at 24 Hours

- 17 of the 20 labs received samples containing Pu
- Of those 17:
 - 13 detected Pu in ES-1
 - 7 detected Pu in ES-2
 - 13 detected ²⁴¹Am in ES-1
 - 12 detected ²⁴¹Am in ES-2
 - 5 labs reported model age





- **Exercise Objectives?**
 - Primary Objectives Novel Materials*, Traditional Forensics, Blind Receipt
 - General Objectives Physical characteristics, Phase ID, Trace Elements, Isotopics, Particles, Evaluations at 24 hours, 1 week, 2 months?
 - Inject location (with samples)?
- Sample shipping / receipt?
 - Issues with packaging?
 - Issues with "Blind" receipt?
- Exercise Timing?
 - Every 2 years or every 3 years?
 - Time of year still ok? (we currently target the fall as the start of the exercise)
 - Timing of this exercise? / DRM?
- Other comments related to logistics and the first 24 hrs of play?
- Exercise Scenario? save for discussion after 2 month reporting
- *Exercise Materials? save for discussion after 2 month reporting



Discussion Cont'd

- Exercise Play in the First 24-Hours?
 - Was inject 1 appropriate for this scenario?
 - \checkmark Issues with waiting on DA until after the 24-hr report?
 - What methodologies were used?
 - Which ones were useful? Were there any that were not useful?
 - Were there any methodologies you wanted to use but didn't?
 - Was there anything you would do differently in the first 24 hours knowing what you know now?
- Any other comments / Questions related to the first 24 hours of exercise play?

a know now? Of exercise play?



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Co-Chairs ETG, ITWG

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Inject 2 & Introduction to 1-Week Report



Inject 2 & Introduction to 1-Week Report

- Exercise Objectives / Performance Metrics for 1 Week Report
- Inject 2
- 1 Week schedule of presentations

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Exercise Objectives







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Inject 2 – 1-Week Preliminary Report

Lead investigator has received your 24 hour report and approved your Analytical Plan

- 1) Proceed with your proposed analyses of ES-1 & ES-
- 2) Using new or old version of the GDF, determine if the metal pipes associated with ES-1 and ES-2 consistent with one another?
- 3) Determine chemical composition / phase of ES-1
- **Determine chemical composition / phase of ES-2**
- 5) Using new or old version of the GDF, determine if the radioactive ingots associated with ES-1 and ES-2 are consistent with one another?
- 6) Evaluate any other traditional or nuclear forensic evidence that may link ES-1 and ES-2 using either version of the GDF.

Report all results to the LI within 6 days



Thank you

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Laboratory

-1 Week

Presentations

9:45 – 10:05 am 1-Week report results 10:05 – 10:25 am 1-Week report results 10:25 – 10:45 am Break 10:45 – 11:05 am 1-Week report results (U Only) 11:05 – 11:25 am 1-Week report results 11:25 – 11:45 pm 1-Week report results (U Only) 1-Week report results (with focus on 11:45 – 12:05 pm comparing GDF-A and GDF-B) 12:05 – 1:05 pm Lunch 1:05 – 1:25 pm Novel Methodologies 1-Week – traditional forensics 1:25 – 1:45 pm Novel Methodologies 1-Week – traditional forensics

Poland Sweden

Japan Singapore Israel Korea

Australia

JRC



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Summary of 1-Week Reporting



1-Week Reporting

- Summary of 1-Week Analyses
 - Traditional Evidence
 - Physical Characterization
 - Phase ID
 - Chemical / Elemental Characterization
 - ✓ Bulk
 - ✓ Surface
 - Isotopic Characterization
 - ✓ Bulk
 - ✓ Surface
- Evaluation
 - Age Dating
 - Pedigree
 - Graded Decision Framework
- Notable Efforts
- Discussion: Lessons Learned

2



Purpose of the Data Review Meeting

- Opportunity to view your results relative to the community of results
- Please pay special attention to the results attributed to your lab:
 - Did we capture all of the important data you generated?
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 - If either of these are not the case, please let me or Olivia know so we can correct this in the After Action Report



Traditional Evidence

- K2 performed fiber analysis of evidence recovered from ES-1
- 5 labs developed fingerprints in 1-week
- 6 labs compared tool marks on the pipes
- Cho and Masherbrum Oyo compared tool marks on ingots
- Rakaposhi compared tool marks on plastic bags



Image of fiber found on ES-1 processed by K2



Physical Measurements

Optical & Electron Microscopies utilized extensively.

- 6 labs reported OM results in 1 Week report
- 9 labs reported SEM results in 1 week

ES-1 pipe





ES-2 pipe

SEM images of ES-1 and ES-2 showing remnants of polishing (Masherbrum).



Digital optical microscope image of ES-1 (Cho Oyu).



Phase ID of Ingots

- Chomo Lonzo, Batura Sar, Rakaposhi and Annapurna evaluated phase of ingots with pXRD
- 7 labs used alternative means (Gamma spec, XRF, IR, SEM-EDX) to identify phase of ingots



XRD pattern of ES-1 reported by Chomo Lonzo



Comparison of XRF spectra from ES-1 and ES-2 by Kanjut Sar



Trace Elements - bulk

- Good correlation between trace elements found in pipes
- Techniques applied: qICP-MS, SF-ICP-MS, ICP-OES
- Labs reporting values in 1 week: Nanda Devi, Batura Sar, K2, Kamet, Shispare, and Namcha Barwa





Trace Elements

- 13 labs reported trace elements within the first week
 - 3 surface techniques: 6xXRF, 4xSEM, and 1xLA-QQQ-ICP-MS
 - More labs identify presence of significant contaminants







ES-1 PIPE INTERIOR VS ES-2 PIPE INTERIOR

Everest applied LA-QQQ-ICP-MS

 Quantitative results of surface elemental analysis compared well with bulk analysis



◆ Na = Mg ▲ Al × Si ★ P ● S + K ▪ Ca = Ti ◆ V = Cr ▲ Mn × Co ★ Ni ● Cu + Zn ▪ As= Rb ◆ Sr = Zr ▲ Nb × Mo ★ Sn ● Sb + Ba ▪ W = Pb ◆ U

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Trace Elements

ES-1 PIPE INTERIOR VS ES-2 PIPE INTERIOR

- Everest applied LA-QQQ-ICP-MS
 - Quantitative results of surface elemental analysis compared well with bulk analysis







Uranium Isotopics in 1 Week

- 14 labs reporting 234/238 ratios for ES-2
- Everest and Kamet reported 234/238 ratios for ES-1
- Kamet shows consistency between ES-1 and ES-2
- Everest shows minor inconsistency between ES-1 and ES-2 using surface technique





Uranium Isotopics in 1 Week

- 17 labs reporting 235/238 ratios for ES-2
- Everest, Nanda Devi, Kamet, and Annapurna reported 235/238 ratios in ES-1
- Most labs reporting values for both ES-1 and ES-2 show consistency between samples
- Most lab results consistent with supplier declaration





Uranium Isotopics in 1 Week

- Himalchuli and Kamet reported 236/238 ratios for ES-1 and ES-2
- Annapurna reported 236/238
 ratios for ES-1
- Everest, Makalu, Batura Sar and Shispare reported 236/238 ratios for ES-2
- Large spread in data between labs
- No consistency observed between ES-1 and ES-2
- Excess U from Pu contamination may be to blame??





Pu & Am Detection at 1 Week

- 10 Labs reporting ²⁴¹Am & ²³⁹Pu values for ES-1 and ES-2
- Ngadi Chuli, Cho Oyo, Kamet, Gasherbrum, and Masherbrum report values for both ES-1 and ES-2
- 5 out of 6 labs show consistency between ES-1 and ES-2 based on this ratio
- Most values consistent with supplier declarations





²⁴⁰Pu/²³⁹Pu vs. ²⁴¹Pu/²³⁹Pu at 1 Week

- 11 Labs reporting ²⁴¹Am & ²³⁹Pu values for ES-1
- 11 Labs reporting ²⁴¹Am & ²³⁹Pu values for ES-2
- Most values consistent with supplier declarations
- SIMS and "rapid" bulk MS methods not employing a separation step expected to be bias high due to isobaric interference with ²⁴¹Am





Evaluation – Model Age of Pu

- Dhaulagiri, Cho Oyo, Kamet, and Gasherbrum reported new estimates of Am/Pu model age
- Gasherbrum and Cho Oyo show consistency in Am/Pu model ages for ES-1 and ES-2









Evaluation – Graded Decision Framework

- 18 labs reporting GDF answers
- Indications of some inconsistency with interpretation of question 5 (...rad materials similar?)
 - Those considering radioactive contamination part of material answered CP
 - Those interpreting contamination as "Other evidence" answered CN to Q5 and CP to Q6







Notable Efforts after 1 Week

- Chomo Lonzo, Batura Sar, Rakaposhi, and Annapurna application of XRD within 1 Week for phase ID
- Exceptional evaluation of LA-QQQ-ICP-MS for analysis of trace elements, Everest



Trace element pattern comparison in ES-1 and ES-2 pipes (Everest)



Notable Efforts in 1 Week

- K2 Fiber analysis
- Rakaposhi tool marks on bags
- Gasherbrum detection of ²²Na

- Alpha energy barrier for this reaction is ~5MeV...suggesting the presence of Pu in fluoride form
- Tirich Mir & Ngadi Chuli Group Inclusion / Exclusion using ²⁴¹Am/²³⁹Pu



Discussion – 1 Week

Small Group Discussion Group 1: Romania, Russia, Ukraine, Azerbaijan Group 2: Japan, Korea, Singapore, Australia, USA Group 3: Switzerland, Poland, Sweden, Germany, UK Group 4: Hungary, Israel, JRC, Canada, France

Questions to discuss (spend 10-15 minutes per question):

- 1) Did you attempt to subsample the ingots for analysis? If so, discuss the techniques that were used to do this.
- 2) Did you attempt to segregate surface contamination from the bulk ingot for isotopic analysis? If so, discuss the different methods that were employed to do this.
- 3) What were the three most useful measurement techniques used during the first week of CMX-6?
- 4) What was the most challenging aspect of producing the 1 week report?
- 5) What would you have changed about your approach to the 1 week report?
- 6) What would you have changed about the execution of the exercise up to the 1-week reporting?

20



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Inject 3 & Introduction to 2-Month (Final) Report



Inject 3 & Introduction to Final (2-Month) Report

- Exercise Objectives / Performance Metrics for Final Report
- Inject 3
- Schedule of lab presentations

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Exercise Objectives









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TWG Performance Indicators




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Inject 3 – Final Report

- Dr. Evanovich, staff scientist at Rodesia National Laboratory (RNL), Person Of Interest (POI)
- POI had access to large quantities of SNM located at **RNL**
- Determine if materials recovered at storage unit are consistent with ES-1 or ES-2 or RNL holdings



Case No. 52521, Sample **ID: ES-3A & ES-3B**



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Inject 3 – Final Report



Using the Graded Decision Framework, answer questions 1-4.

ES-3B



Thank you

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Laboratory Presentations – Final Reporting

- 9:15 9:30 am Welcome, Schedule & Announcements
- 9:30 9:50 am 2-Month report results, U only
- 9:50 10:10 am 2-Month report results
- 10:10 10:30 am 2-Month results
- 10:30 10:50 am Break
- 10:50 11:10 am 2-Month report results
- 11:10 11:30 am Novel Methodologies 2-Month – (Raman/SEM)

Novel Methodologies 2-Month – Radiochronometry



Olivia Hungary Canada UK

Switzerland

France

USA





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Summary of 2-Month Reporting



Traditional Forensics



- 8 labs developed fingerprints during CMX-6
 - Most reported those results in 1 week
- Everest and Rakaposhi performed DNA analysis
- 4 labs performed tool mark analysis on ingots
- 9 labs performed tool marks on pipes
- Kanjut Sar conducted tool mark analysis on plastic bags
- K2 collected fiber and insect evidence

Code	Fingerprints		Fingerprints			DNA			tool marks bags			tool marks ingots			tool marks pipes			Fiber			Insect		
	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	Wor	
Kanjut Sar																							
Dhaulagiri																							
Everest																							
Ngadi Chuli																							
Cho Oyu																							
Chomo Lonzo																							
Nanda Devi																							
К2																							
Makalu																							
Himalchuli																							
Namcha Barwa																							
Tirich Mir																							
Batura Sar																							
Shispare																							
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Rakaposhi																							
Kamet																							
Annapurna																							
Gasherbrum																							
Masherbrum								(2 <u>1</u> 0)															

Summary of the application of traditional forensics during CMX-6



Matching Latent Prints on ES-1 & ES-2



Successful match by Kanjut Sar of latent fingerprints collected on ES-1 (left) and ES-2 (right). Red markings indicate corresponding features between the two latents. Blue markings indicate ridge shape features of interest to examiner.





Physical Characterization

- Most labs characterized mass, dimensions, and densities within the first 24 hrs
- 8 labs utilized x-ray radiography, most within 24 hrs
- 9 labs conducted OM, most within 1st week of exercise
- 16 labs conducted SEM, most within 1 week
- Kanjut Sar, Annapurna & Masherbrum surface roughness

Code		Mass			Density			Dimensional	cickipiid		X-ray radiography			Surtace	2008	-	Optical Microscony			SEM	
	24 hr	1 wk	2 mth	24 hr	1 wk	2 mth	24 hr	1 wk	2 mth	24 hr	1 wk	2 mth	24 hr	1 wk	2 mth	24 hr	1 wk	2 mth	24 hr	1 wk	2 mth
Kanjut Sar																					
Dhaulagiri																					
Everest																					
Ngadi Chuli																					
Cho Oyu																					
Chomo Lonzo																					
Nanda Devi																					
К2																					
Makalu																					
Himalchuli																					
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Tirich Mir																					
Batura Sar																					
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Distaghil Sar																					
Rakaposhi																					
Kamet																					
Annapurna																					
Gasherbrum																					
Masherbrum																					

Summary of the application of traditional forensics during CMX-6



Phase ID / Chemical / Elemental Analysis

- 7 labs employed pXRD, most in 1 week
- 3 labs used Raman spectroscopy within 2 months
- Masherbrum employed LIBS
- Most labs employed XRF, half of those during 24 hrs

										E	lem	ent	al Su	urfa	ce					Eler	nen	tal	Bulk	(
Code		Powder	AKU		Raman			LIBS			XRF			EDX			LA-ICPMS			ICP-OES			ICP-MS	
	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn	24 hr	1 wk	2 mn
Kanjut Sar																								
Dhaulagiri																								
Everest																								
Ngadi Chuli																								
Cho Oyu																								
Chomo Lonzo																								
Nanda Devi																								
К2																								
Makalu																								
Himalchuli																								
Namcha Barwa																								
Tirich Mir																								
Batura Sar																								
Shispare																								
Distaghil Sar																								
Rakaposhi																								
Kamet																								
Annapurna																								
Gasherbrum																								
Masherbrum																								

Summary of Phase ID and Trace Element Analysis during CMX-6





Typical example of results obtained by combined SEM – Raman spectrometry analysis of a UO₂ particle (sample ES-1, particle #4): EDX spectrum (bottom) showing presence of U as the major compound, along with F and O, electronic image (top, left) of the particle and Raman spectrum (top, right) showing the bands at 445 cm⁻¹ which is characteristic of $UO_{2'}$.

Examples of electronic images of very small (60 – 500 nm) U particles sampled on the surface of the sample ES-2 and a typical EDX spectrum obtained for one of these particles. F was detected as a minor constituent in all of the particles. A low abundance of O was also detected in all of these particles.







Outstanding Example by Nanda Devi and Cho Oyo of Physical and Trace Elemental Analysis



SEM-EDX of ES-1 performed by Nanda Devi using special purpose hermetic cell to seal potentially dispersible radioactive contamination from the instrument during analysis. Analysis shows detection of Al and Y, among other elements.



Tool mark analysis by Cho Oyo of cut edge of pipe. (a) Striations suggest straight cutting tool (band, jigsaw or large diameter circular saw). (b) Possible saw fragment. (c) Saw marks over the diameter of the wire found. (d) Hollow (possibly aerosol) particle consisting of mainly U.



Outstanding Example by Batura Sar of Physical and Trace Elemental Analysis



Morphological and compositional comparisons of two Pu particles collected from ES-1 and ES-2 (Batura Sar).



Isotopic Results – 234[]/238[]

- 12 labs reported values for ES-1
- 20 labs reported values for ES-2
- Of the 11 labs reporting for both ES-1 & ES-2, 5 labs found both to be consistent relative to 234/238 ratio



²³⁴U/²³⁸U mass ratios in ES-1 and ES-2 at 2 months

X Masherbrumacid leach of deamingot McICP.MS Rakaposhi St.CP.M.Suitace Distabili Sar ICP. MS



Isotopic Results – 235[]/238[]

- 9 labs reported values for **ES-1**
- 16 labs reported values for ES-2
- Of the 13 labs reporting for both ES-1 & ES-2, 8 labs found both to be consistent relative to 235/238 ratio
- With few exceptions, labs consistent with Supplier Declarations



²³⁴U/²³⁸U mass ratios in ES-1 and ES-2 at 2 months



Isotopic Results – 236[]/238[]

- 9 labs reported values for ES-1
- 11 labs reported values for ES-2
- Of the 8 labs reporting for both ES-1 & ES-2, 3 labs found both to be consistent relative to 236/238 ratio





Tri-plot of ²³⁵**U/**²³⁸**U vs**²³⁴U/²³⁸U

- 8 labs reported values for ES-1
- 15 labs reported values for ES-2
- Most results agree well with no significant difference between ES-1 and ES-2



²³⁴U/²³⁸U vs ²³⁵U/²³⁸U tri-plot for ES-1 and ES-2 at 1 week.





5.0E-03 4.0E-03





Tri-plot of ²³⁵**U/**²³⁸**U vs**²³⁶U/²³⁸U

- 7 labs reported values for ES-1
- 10 labs reported values for ES-2
- Most results agree well with no significant difference between ES-1 and ES-2



Tri-plot of ²³⁵U/²³⁸U vs ²³⁶U/²³⁸U for ES-1 and ES-2 at 2 months

4.0E-03

5.0E-03





Isotopic Results – ²³⁸Pu/²³⁹Pu

- 5 labs reported values for ES-1
- 4 labs reported values for ES-2
- Of the 4 labs reporting for both ES-1 & ES-2, all labs found both results with one another
- All measurements are consistent with supplier declarations



	•	•
oshi - ma	Masherbrum-acid leach of clean	Supplier
	Ingot	



Isotopic Results – ²⁴⁰Pu/²³⁹Pu

- 18 values reported for ES-1
- 16 values reported for ES-2
- Of the 16 labs reporting values for both ES-1 & ES-2, 15 labs found both to be consistent
- 90% of the analyses consistent with supplier declarations





Isotopic Results – ²⁴¹Pu/²³⁹Pu

- 15 values reported for **ES-1**
- 13 values reported for ES-2
- All of the 13 labs reporting values for both ES-1 & ES-2, found the two samples to be consistent



²⁴¹Pu/²³⁹Pu mass ratios for ES-1 and ES-2 at 2 months. Shaded areas show standard deviation of participant values.



Isotopic Results – ²⁴²Pu/²³⁹Pu

- 8 values reported for ES-1
- 9 values reported for ES-2
- 6 of the 7 labs reporting values for both ES-1 & ES-2, found the two samples to be consistent





Isotopic Results – Tri-plot of ²³⁸Pu/²³⁹Pu vs ²⁴⁰Pu/²³⁹Pu

- 5 labs reported values for ES-1
- 4 labs reported values for ES-2
- Results suggest ES-1 and ES-2 are similar





Isotopic Results – Tri-plot of ²⁴¹Pu/²³⁹Pu vs ²⁴⁰Pu/²³⁹Pu

- 6 labs reported values for ES-1
- 4 labs reported values for ES-2
- Results suggest ES-1 and ES-2 are similar



²⁴¹Pu/²³⁹Pu vs ²⁴⁰Pu/²³⁹Pu mass ratios for ES-1 and ES-2 at 2 months. Shaded areas show standard deviation of participant values.



Isotopic Results – Tri-plot of ²⁴²Pu/²³⁹Pu vs ²⁴⁰Pu/²³⁹Pu

- 6 labs reported values for ES-1
- 7 labs reported values for ES-2
- Results suggest ES-1 and ES-2 are similar



²⁴²Pu/²³⁹Pu vs ²⁴⁰Pu/²³⁹Pu mass ratios for ES-1 and ES-2 at 2 months. Shaded areas show standard deviation of participant values.

7.0E-02

8.0E-02



Particle Analysis – ²⁴¹Pu/²³⁹Pu & ²⁴²Pu/²³⁹Pu vs ²⁴⁰Pu/²³⁹Pu

- Gnadi Chuli, Masherbrum, and Batura Sar reported SIMS data for ES-1 and ES-
- Everest reported LA-ICP-**MS** results
- Majority of data suggest ES-1 and ES-2 are consistent
- Most particle analysis consistent with bulk analysis





Evaluation Results – Radiochronometry

- 9 labs reported values for ES-1
- 6 labs reported values for ES-2
- 6 out of 6 labs reporting for both ES-1 & ES-2 found ages to be consistent
- Cho Oyu and Masherbrum employed multiple chronometers effectively
- 15 out of 17 measurements consistent with supplier model age



Model age of Pu derived from multiple chronometers



Evolution of Radiochronometry from 24 hr report Nuclear Forensics to 2 month report







115 105 • ES-1 Model Age from 1/1/2019 (Yr) • ES-2 95 • ES-1 236U/240Pu 85 • ES-1 Np/Am 75 • ES-2 Np/Am Supplier 65 55 45 Makalu-alpha spec+ ICP. MS NEadi Chuli-MCICP.MS Dhaulagiri alpha Everest Gamma Rakaposhi Gamma Kamet-Gamma





Evolution of Radiochronometry from 24 hr report Nuclear Forensics to 2 month report



Model age of Pu at 24 hours and 1 week

Model age of Pu at 2 months



Discussion – 2 Month

Small Group Discussion

Group 1: Romania, Russia, Ukraine, Azerbaijan

Group 2: Japan, Korea, Singapore, Australia, USA

Group 3: Switzerland, Poland, Sweden, Germany, UK

Group 4: Hungary, Israel, JRC, Canada, France

Questions to discuss (spend 10 minutes per question):

- 1) What were the three most useful measurement techniques used during CMX-6?
- 2) Are there any analytical results that you believe provide insight into the process history of the materials or the intent by any person of interest to authorities?
- 3) List three aspects of the exercise that you liked.
- List three aspects of the exercise that you would change. 4)
- 5) Please provide any additional comments/suggestions you have about the Data Review Meeting.
- 6) Regarding future exercises:
 - What would you like the scope to include (e.g. linking with the Libraries Task Group and Galaxy Serpent, linking with the Evidence Task Group?, etc.)
 - What materials would you like to see be used in future exercises?

CMX-6 Full Design, Development, Scenario & Backstory



Exercise Design

Parameters:

- New material (Pu)
- Exempted quantity for ease of shipping (<200 μg)
- A scenario that makes sense!

Features:

- Difficult to ID with HPGe (U masking)
- Incorporate TE that is separable from radioactivity
 - Patent print
 - Latent print
 - Tool marks
 - Trace elements
 - Contaminants from cutting, casting
- Isotopics defining feature
- Age defining feature
- Chemical form defining feature
- Shape defining feature
- Possible detection of ²²Na



CMX-6 Exercise Sample 1 Production



Cut ~5g pieces Cut ~5g pieces

First attempt at casting Ce ring



Graphite mold with yttria wash post cast



Casting surface showing oxidation and cavities







Casting retrieval

Final version of Ce cast





Degassed Ce metal

Optimized casting

CMX-6 Exercise Sample 2 Production



Cut ~10g pieces Cut ~10g pieces Cut ~10g pieces Cut ~10g pieces CaF₂, and UF₄)


Uranium Metal Casting: Casting Retrieval in Fume Hood



Top down view of casting in mold post cast



Base of broken graphite plug showing base of fused U ring





Top of casting



Base of casting



Uranium Metal Sectioning



Glovebox saw used to section U

UF₄ Starting Material for Bomb Reduction to U Metal

(Coupled TwoTheta/Theta)



X-ray diffraction pattern of uranium fluoride and related species





12

235U abundance (at.%)

Uranium Pedigree

4

	Atomic Percent										
Sample ID	²³⁴ U	Uncertainty (2-σ)	²³⁵ U	Uncertainty (2-σ)	²³⁶ U	Uncertainty (2-σ)	²³⁸ U	Uncertainty (2-σ)	Amount (g)	Date Measured	Chemical Form
RNL-543S	8.500E-01	1.500E-01	8.670E+01	1.500E+00	5.700E-01	8.000E-02	1.190E+01	9.000E-01	1.003E+03	18-Sep-13	Oxide
R NL-789N	1.050E+00	7.000E-02	8.940E+01	1.800E+00	6.900E-01	5.000E-02	8.900E+00	2.000E-01	2.560E+02	2-Jun-06	Oxide
RNL-560G	1.930E-02	2.702E-04	2.245E+00	1.700E-01	2.000E-03	5.000E-04	9.703E+01	8.000E-02	2.412E+03	14-Nov-09	Fluoride
RNL-495W	9.291E-01	6.000E-03	/8.965	3.600E+00	3.878E-01	1.900E-03	1.972E+01	6.400E-02	1.452E+03	1-Jan-14	Oxide
RNL-118L	8.640E-01	6.600E-03	6717E+01	3.800E+00	4.300E 01	3.200E 03	3.159E+01	6.900E-02	4.520E+02	4-jun-08	Oxide
RNL-992K	bd	bd	2.400E-01	6.000E-02	bd	bd	9.975E+01	6.000E-02	1.438E+03	13-Jun-18	Fluoride
RNL-733Y	9.093E-01	4.000E-03	7.778E+01	3.100E-02	4.000E-02	1.2002-03	2.12/2701	0.400E-02	1.289E+03	4-Aug-11	Metal
RNL-629F	2.430E-02	3.200E-03	2.893E+00	9.300E-02	3.000E-04	5.000E-04	9.708E+01	5.000E-02	1.299E+03	14-Nov-14	Nitrate
RNL-373M	bd	bd	1.900E-01	6.000E-02	bd	bd	9.980E+01	6.000E-02	3.132E+03	8-Apr-11	Oxide

- ²³⁵U and ²³⁸U consistent with two possibilities in RNL holdings
- Form (UF₄) and amount most consistent with RNL-992K

Pu Pedigree

	Atomic Percent									
		Uncertainty		Uncertainty		Uncertainty				
Sample ID	²³⁹ Pu	(2- σ)	²⁴⁰ Pu	(2 -σ)	²⁴¹ Pu	(2- σ)	Amount (g)	Date Measured	Chemical Form	Last Processing Date
DNU 400D	0.4535.04	4.5055.00	0.0105.00	0.0225.04	2 7005 02	4 0305 03	6 4505.00	2.14.14	Maria	1
RNL-132D	9.1551+01	4.555L+00	0.210L+00	9.0321-01	3.700L-02	4.920L-03	0.4501+02	J-Iviai-11	ivictai	Jan-02
RNL-235A	8.120E+01	4.060E+00	1.870E+01	9.348E-01	1.050E-01	5.250E-03	9.230E+02	18-Dec-08	Oxide	Apr-71
RNL-194L	9.340E+01	3.736E+00	6.567E+00	8.340E-01	4.000E-02	5.200E-03	1.400E+02	26-Feb-15	Fluoride	Jul-64
RNL-651H-	-9. 3 4 1E+0 1-	-4. 222E+00 -	6 .6 9 8E+0 0	8. 7 7 0E-01	4.700E-02	-6. 3 0 0E - 03 -	3 .540E+0 2	27-Nov-14 -	— — O xi d e — —	 <1966

- ²³⁹Pu inconsistent with RNL-235A
- ²⁴⁰Pu and age inconsistent with RNL-132D
- Form (PuF₄) most consistent with RNL-194L

Summary

• CMX-6 largest exercise in ITWG history

...also the most complex!

- Essentially every major design feature introduced as evidence in CMX-6 samples were identified by at least one laboratory
- Tool marks
- Fingerprints
- Cutting tools
- Bag composition
- Pipe composition
- Contaminants Ca, F, U, Pu
- Pu age
- U age
- F composition of Pu and U contaminants